

RURAL ENERGY FOR AMERICA PROGRAM APPLICATION CHECKLIST TOTAL PROJECT COST OF \$80,000 OR LESS

This checklist is a tool to help ensure your application is complete prior to the established deadline and to be considered for competition. Resource links and Federal Forms for the application are referenced below. Applicant must have a Unique Entity Identifier (UEI) or a Dun and Bradsteet Universal Number System (DUNS) number corresponding to the tax identification /social security number of the applicant entity. Applicants must register in the SAM (www.sam.gov) prior to submitting an application. Upon successful registration, a CAGE Code is assigned and must remain active until the project is completed and funds have been released. (See SAM Quick Start Guide for further instructions)

| Required Action | Resource | Provided By: |
|---|--|--------------|
| Applicants must register in the SAM (www.sam.gov) prior to submitting an application. Upon successful registration, a UEI number is assigned. The SAM registration must remain active until all funds have been released. The Procurement Technical Assistance Center (PTAC) Organization can be a resource to assist with SAM Registration. There is NO COST to register | http://www.sam.gov https://www.nmptac.org | Applicant |
| Identify the primary North American Industry Classification System (NAICS) Code applicable to the Applicant's business. | https://www.naics.com/sba-size-standards/ | Applicant |
| SAM Quick Start Guide for updating and or registering your entity. | SAM Guide | Applicant |
| Form RD 4280-3A Instructions | RD 4280-3A Instructions | Applicant |
| Technical Report Instructions/certifications | Technical Report -RES/EEI | Applicant |
| Selection of Vender/Contractor | Form | Applicant |
| Ownership of property and control | Copy of Warranty Deed, Property Tax, etc. | Applicant |

| FORM TITLE | FORM NUMBER | PROVIDED BY |
|---|---|----------------------|
| Application for Renewable Energy Systems (RES) Energy Efficiency Improvement Projects (EEI) | Form RD 4280-3A | Applicant/Contractor |
| * Certifications Executed (Sections X-XII of RD 4280-3A) | Sections X-XII of RD 4280-3A | Applicant |
| Application for Federal Assistance | Form SF 424 | Applicant |
| Budget Information-Construction Programs | Form SF 424C | Applicant |
| Assurance for Federal Assistance | Form SF 424D | Applicant |
| Environmental Information | RD Instruction 1970-B | Applicant |
| Vendor/Installer Certification- (RES /EE) | Vendor/Installer Certification Form | Contractor |
| Technical Report | Appendixes | Applicant |
| Minimum of 12-Month Historic Utility Bill for both EEI and RES Projects | 12-Month Historic Utility Bill | Applicant |
| Verification of Leveraged (Matching) Funds | Bank Letter/Bank Statements | Applicant |
| PPA/Net Metering or Credit Policies/Letter from Utility Company | PPA/Net Metering Agreement | Applicant |
| Feasibility Study- (RES- as applicable) | If Total Project Cost is > \$200,000 or may be required based on type of project. | Applicant |
| Energy Assessment or Energy Audit (EEI- as applicable) | Audit if Total Project Cost is > \$200,000 | Applicant |