

(Company Name)

(Topic Number)

(Proposal Number)

DHS SBIR Phase I Proposal – (Title of Project)

1. Identification and Significance of the Problem or Opportunity

2. Technical Objectives

3. Work Plan

4. Related Research/Research and Development

5. Key Individuals and Bibliography of Directly Related Work

6. Relationship with Future Research/Research and Development

7. Commercialization Strategy

8. Facilities/Equipment

9. Subcontractors/Consultants

10. Potential Post Applications

11. Prior, Current, or Pending Support of Similar Proposals or Awards

**Commented [NF1]:** Succinctly define the specific technical problem or opportunity addressed; the proposed innovation; the relevance and significance of the proposed innovation to a need(s) within the topic description; the proposed innovation relative to the state of the art; and the importance of the work proposed.

*Essentially, why is your innovation interesting to the funding agency and what problem will you address. How does not addressing the problem affect the U.S.?*

**Commented [NF2]:** State the specific objectives of the Phase I R/R&D effort, including the technical questions that must be answered to determine the feasibility of the proposed innovation/approach.

**Commented [NF3]:** (including the efforts of the subcontractor(s)/consultant(s), if applicable) – Provide an explicit, detailed description of the Phase I approach. The Plan should indicate what tasks are planned, how, when, and where the work will be conducted, a schedule of major events, and the final product(s) to be delivered. The Phase I effort should determine the technical feasibility of the ...

**Commented [NF4]:** Describe significant (current and/or previous) R/R&D activities that are directly related to the proposed effort, including any conducted by the principal investigator, the Offeror, consultants, or others. Discuss a ...

**Commented [NF5]:** Identify key personnel who will be involved in the effort including information on directly related education, experience, and bibliographic information. A concise resume for the Principal Investigator ...

**Commented [NF6]:** State the anticipated results of the proposed approach if the project is successful through Phase I and Phase II. Discuss the significance of the Phase I effort in providing a foundation for Phase II research or ...

**Commented [NF7]:** (1) Explicitly describe the company's strategy (vision) for commercializing the proposed technology and how it will transition to the specific operational component in DHS, other Federal Agencies, ...

**Commented [NF8]:** Provide information to allow the evaluators to assess the ability of the Offeror to carry out the activities of the proposed phase as well as all subsequent phases. Describe available instrumentation and ...

**Commented [NF9]:** Involvement of any subcontractor(s) or consultant(s) (including Federal Laboratories, FFRDCs, universities, and technical assistance providers) is permitted. If such involvement is proposed, it should be ...

**Commented [NF10]:** Briefly describe the following: (1) whether and by what means the proposed project appears to have potential commercial application; and (2) whether ...

**Commented [NF11]:** WARNING – While it is permissible, with proposal notification, to submit identical proposals or proposals containing a significant amount of essentially equivalent work (see Appendix B of the solicitation) for ...